# Open Access and Open Science at the MLU

Introduction Seminar for Zoologists at the MLU "

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Sachsen-Anhalt
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## **Introduction**

Concept and organisational information

• The speakers

Dr. Roberto Cozatl – Head of the Open Science Team, ULB

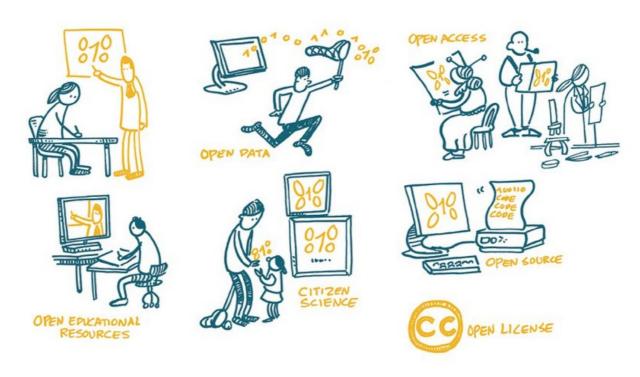
Michael Hoffert – Subject Specialist for Biology, ULB

## **Overview**

- Open Science
- Introduction to Open Access publishing
- **❖** Infrastructural and financial support
- **A primer on research data management**
- **❖** FAIR Data
- **❖** NFDI

#### What is Open Science?

#### EVERYONE ON THE ROAD TO OPEN SCIENCE



On the road to Open Science (Patrick Hochstenbach CC-BY 4.0)

- "to make the primary outputs of publicly funded research results publications and the research data
   publicly accessible in digital format with no or minimal restriction" OECD
- "OS is the practice of science in ways that others can collaborate and contribute, where research data and processes are freely available, under terms that enable reuse, redistribution and reproduction of the research and its underlying data and methods ". FOSTER.EU

#### Why is Open Science important?

- From a non-academic perspective open science can benift the economy and our society in many ways:
  - Innovation motor for businness
  - o Positive impact on society and public policy and health
  - o Benefits for culture and the environment

#### Why is Open Science important?

- From a non-academic perspective open science can benift the economy and our society in many ways:
  - Innovation motor for businness.
  - Positive impact on society and public policy and health
  - Benefits for culture and the environment
- From a scientific perspective the benefits from OS are also diverse:
  - Eases scientific collaboration
  - Makes science more transparent and reproducible
  - Advances the analytical capacities at the core of scientific processes
  - Supports data driven decision making
  - Citation advantage effect
  - o If implemented wisely, it will make your scientific work more efficient

#### Open Science as an initiative

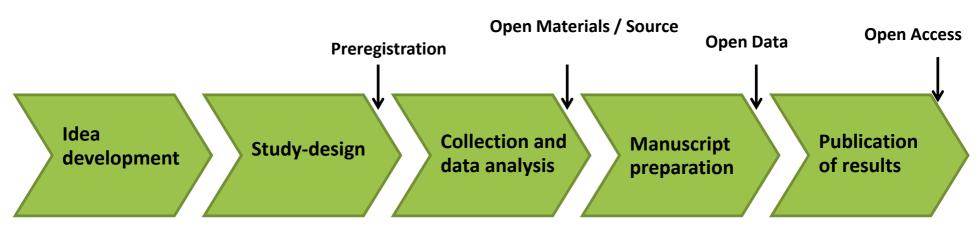
- Mandate: through government, society, the private and academic sector, etc.
- **Demand**: people in different context are actually using and benefiting from OS and its derivates such as Open Access and Open Data
- **Engagement**: there are active communities (data users, creators) and structured mechanisms to get feedback and to evaluate the ongoing processes and achieved goals
- **Cultural Change**: existing challenges are being dealt with by the active communities, and these developments are changing the way science is done.

#### **Terminology**

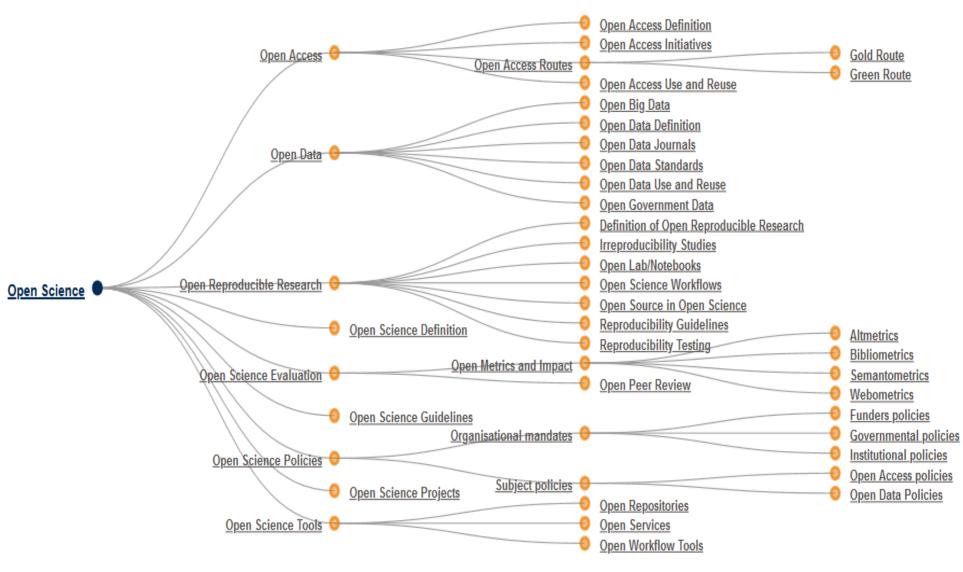
- **Open Materials**: your research methods (including protocols and processes) are openly available
- Open Source: public availability of programming code and scripts
- Open Data: public availability of research data (additional and or supplementary materials)
- Open Access: public (free of charge!) availability of research publications
- Preregistration: open registration of study and experimental designs

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Adapted from: Open Science-Seminar von Dr. A. Kohler GRADE-Frankfurt: https://osf.io/8b657/



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Source: https://www.fosteropenscience.eu/taxonomy/term/100

## **Introduction to Open Access**

#### **Open Access**

- General idea
- Current developments

#### The legal framework in Germany

- Legal basis of publishing contracts
- Current stand and implications

#### Tips (important things to consider) for publishing your scientific outputs

Predatory Journals

#### **Financial Support and our infrastructure**

- Publication fund of the MLU
- Our institutional repository and other publishing tools

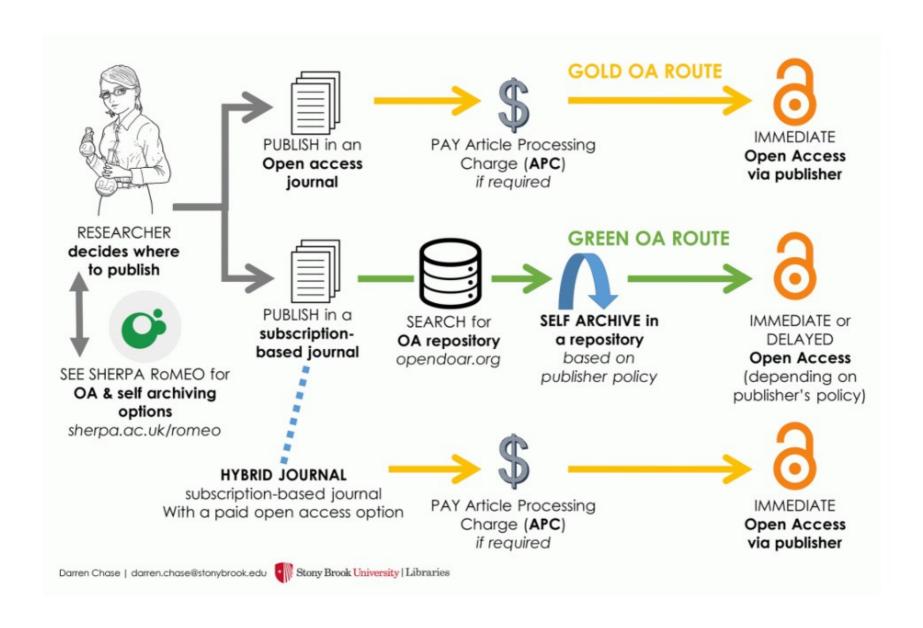
## **Open Access**

Publish your research results in open access whenever it is possible for you!

Gold OA: this refers to works published in OA journals accessed directly via the journals or publishers website.

**Green OA:** refers to self-archiving or works for free public use. Authors provide access to these materials over an institutional or disciplinespecific repository.

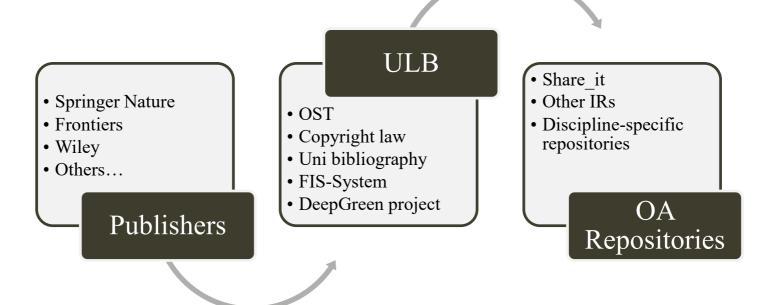
\* https://www.fosteropenscience.eu/



#### Fostering of secondary publications

- Secondary publishing means archiving and accessing already published, peer-reviewed research works as parallel publication (Green Open Access) on document servers (repositories).
- It aims at improving the accessibility of publications that could not be originally published in Open Access mode.

 The ULB provides you with an option to submit your works as secondary publication to our institutional repository Share\_it. We can also help you find adequate disciplinespecific repositories.



The legal framework in Germany

German authors' right | Deutsches Urheberrecht What: § 2 Sect. 7 Gefet : Sammlung Who: § 7 Copyright protection How: § 64 Ronigliden Drengifden Staat - No. 22. -(No. 1840.) Befet jum Schute bes Eigenthums an Berfen ber Biffenschaft und Runft gegen Rachbrud und Rachbilbung. Bom 11. Juni 1837. Bir Friedrich Wilhelm, von Gottes Gnaden, Ronig von Preugen 2c. 2c. Damit bem Eigenthum an ben Werken ber Wiffenschaft und Runft ber erforderliche Sous gegen Nachdruck und Nachbildung gesichert werde, haben Copyright personal Bir Une bewogen gefunden, Die baruber bestehenden Gefete einer Abanderung und Ergangung ju unterwerfen, und verordnen bemnach auf den Untrag Unferights: § 12-14 res Staatsministeriums und nach erfordertem Gutachten Unseres Staatsraths, fur ben gangen Umfang Unferer Monarchie, mas folgt. Authors' Exploitation rights: 6. 1. Das Recht, eine bereits herausgegebene Schrift, gang ober theile 1. Schrift weise von neuem abbrucken oder auf irgend einem mechanischen Wege verviels aussch faltigen zu laffen, steht nur dem Autor berfelben oder benjenigen zu, welche ihre ber ech feller. rights § 16 - 19 Befugniß bagu bon ihm berleiten. 6. 2. Jebe folche neue Bervielfaltigung, wenn fie ohne Benehmigung b. Berbotbe bes baju ausschließlich Berechtigten (6. 1.) geschieht, beißt Nachbrud, und ift Racherude. berboten. 6. 3. Dem Nachbruck wird gleich geachtet, und ift baber ebenfalls bers c. 28as bem boten, Der ohne Genehmigung Des Autors ober feiner Rechtsnachfolger bewirfte Rachbrud au ach Mbbruck a) bon Manuffripten aller Urt, b) bon nachgeschriebenen Predigten und munblichen Lehrbortragen, ale biel, ob Diefelben unter bem mabren Damen bes Mutors be-Usage rights/ ben werben ober nicht. Diefer Genehmigung bedarf auch ber rechtmagige Befiger ein ober einer Abichrift beffelben (litt, a.), imgleichen nachgeschrieber transferring Lehrvortrage (litt, b.). Granting of usage rights 6. 4. 2118 Dachbruck ift nicht angufeben copyrights: 1) bas wortliche Unfuhren einzelner Stellen eines Berfes; § 31 (No. 1840.) 3abrgang 1837. (Musgegeben ju Berlin ben 18. Dezember 1837.)

<sup>\*</sup> Pictured: First page of the Prussian law of June 11, 1837: from Wikimedia Commons



SPARC (the Scholarly Publishing and Academic Resources Coalition) works to enable the open sharing of research outputs and educational materials in order to democratize access to knowledge, accelerate discovery, and increase the return on our investment in research and education.



Open Science @ULB

Rechtsfragen bei Open Science – Ein Leitfaden Hamburg University Press

https://openscience.bibliothek.uni-halle.de openscience@bibliothek.uni-halle.de

#### ADDENDUM TO PUBLICATION AGREEMENT

<ol> <li>THIS ADDENDUM hereby modifies and supplements the attack Article:</li> </ol>	ned Publication Agreement concerning the following
(manuscript title)	<del></del>
(journal name)	
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## **Predatory publishing**

#### Definition:

Publishers who prioritize self economic interests at the expense of scholarship

#### Characteristics:

- Misleading information
- Deviation from best editorial practices
- Lack of transparency
- Aggressive marketing stratetegies to win authors
- Badly indexed content in scientific databases

#### Risks:

- Low findability and accesibility of your research
- Damaged scientific reputation
- Discrediting of the OA initiative
- Supporting of illegitimate business models



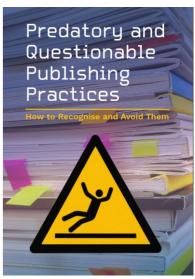
#### Important checks

- Directory of Open Access Journals
- Open Access Scholarly Publisher Association
- Web of Science or Scopus Index



- OS Team of the library!
- Thinkchecksubmit webiste





Braak, P., van Gorp, D., Hukkelhoven, C., & de Roo, T. (2024). Predatory and Questionable Publishing Practices: How to Recognise and Avoid Them.
UKB - Dutch Consortium of University Libraries.
https://doi.org/10.5281/zenodo.10688081

#### **Publication fund of the MLU**

The publication fund of the MLU supports the Open Access efforts of MLU and University clinic scientific staff by covering the article processing changes (APCs) incurred by publising in Open Access journals

Applying for funding is done in three steps:

- 1. Check your eligibility
- Send the application form to the ULB
- 3. After clearance, the ULB pays the APCs for your publication (a maximum of 2000 EUR per article are covered under this scheme)

Further Information can be found here:

https://bibliothek.uni-halle.de/wp-content/uploads/2023/11/openaccess\_fk\_engl.pdf

Eligibility criteria and further details about the application process:

https://bibliothek.uni-halle.de/en/research-publish/open-access/publication-fund/publiation-fund-article/

# Promotion of Open Access within Transformational agreements with Springer Nature, Wiley, Elsevier and others

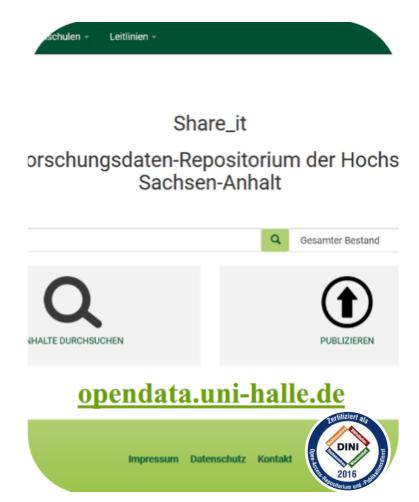
In Addition to funding Gold Open Access publications via the publication fund, we also signed transformational agreements with some publishers:

#### Requirements:

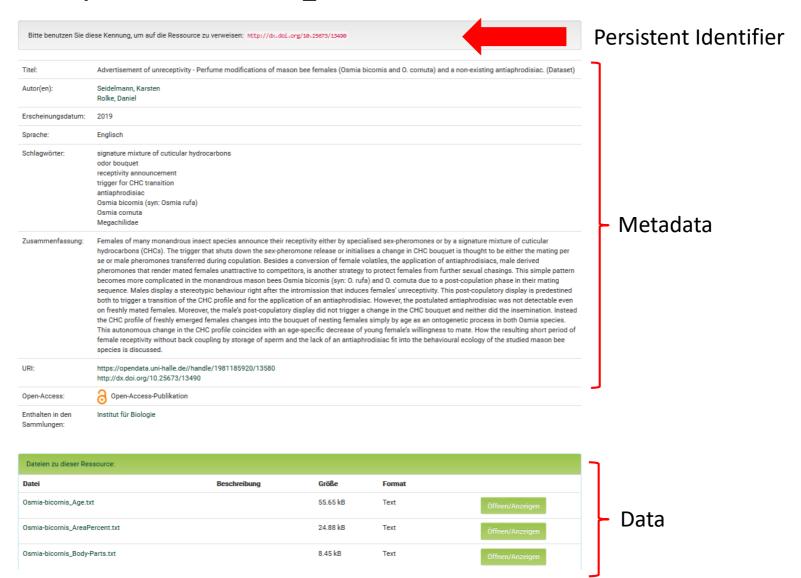
- If you are the corresponding author, you are allowed to publish without additional costs in most of the hybrid journals of the publisher (for details please contact the Open Science Team of the ULB or the responsible subject specialist).
- An overview page about this transformational agreements can be found here: <a href="https://bibliothek.uni-halle.de/en/research-publish/open-access/transformative-agreements/">https://bibliothek.uni-halle.de/en/research-publish/open-access/transformative-agreements/</a>
- Via these contracts you will also receive a reduction of the APCs for articles in Gold Open Access journals of these publishers.

## Our publications and research data repository : Share\_it

- System for the storage, archiving and dissemination of publications, research data and research results
- Permanent identification of resources via DOIs for citability and long-term archiving
- Share\_it: Repository of the University Libraries of Saxony-Anhalt
- Part of the IT infrastructure of MLU
- DINI-certified repository (Important standards are met)
- Connection to larger online aggregators (DNB, BASE, Re3data)

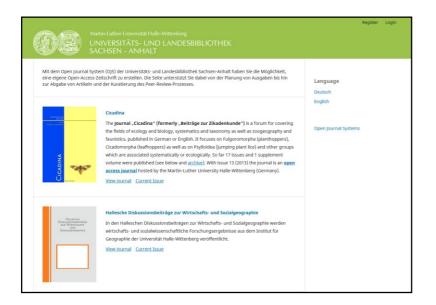


#### **Example of a publication in Share\_it**



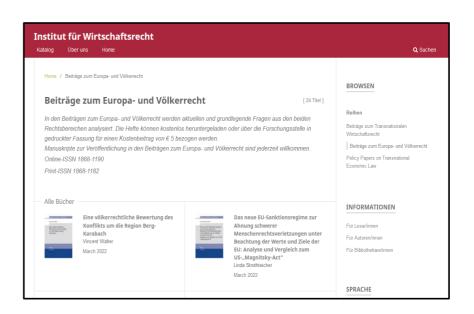
## Infrastructural tools for supporting diverse publication workflows

#### **Open Journal System (OJS)**

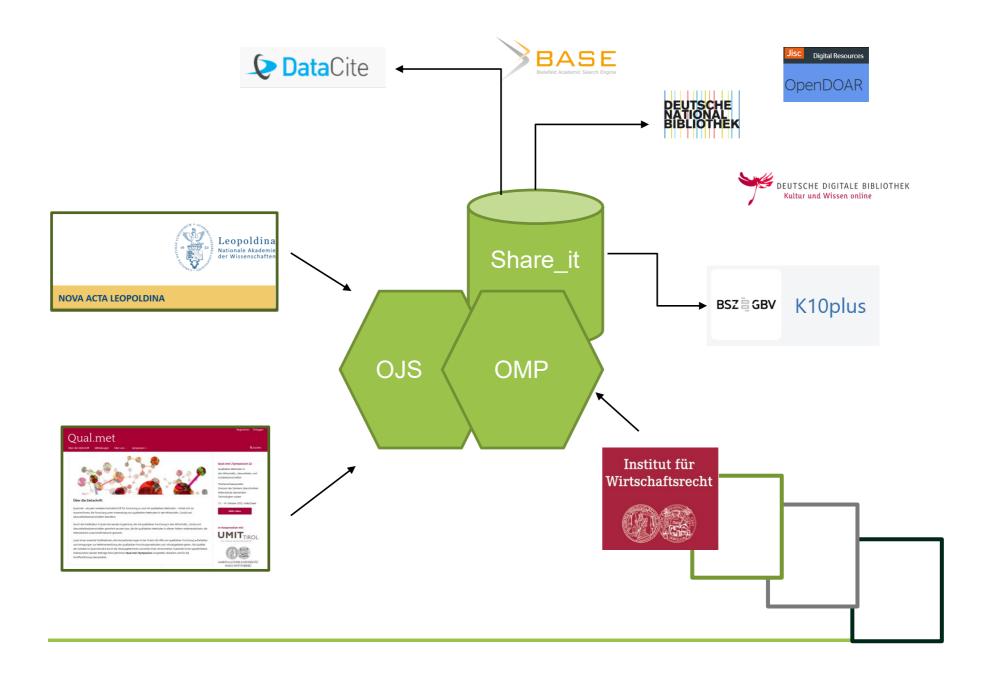


https://public.bibliothek.uni-halle.de/

#### **Open Monograph Press (OMP)**



https://omp.bibliothek.uni-halle.de/



## A primer on research data management RDM

#### Research data management – basic principles and importance

- RDM-Definition
- RDM- Examples and data creation processes
- Importance
- Requirements of funding bodies (German landscape)
- Current challenges

## Research data management in practice

- FAIRing your data
- Research data management plans
- Data structuring
- Data formats

#### What is open data?

- Open data refers to data that anyone can access, use and share.
- Open data becomes usable if it is made available in ways and formats in which people can benefit from it
- Open data becomes usable if people know what they can do with it, therefore open data must be licensed.

#### What are the key concepts when it comes to Open Data?

- Two key concepts for understanding Open Data are interoperability and reproducibility.
- **Interoperability** is important to ensure different components can work together to build larger and complex systems. Without interoperable datasets and systems there is no point in openess.
- Open Data in an scientific context aims at making research results and processes available to the public in a way that these are interoperable and ready to be reused.
- Similarly, to be open in a meaningful way and in order to achieve real openess of your research data, your scientific results need to be reproducible. This in turn will make your science transparent.

## **Question:**

1. What is your level of expertise with RDM?

#### **RDM Definition – What are research data?**

"...Research data are the original sources or material that you have created or collated to conduct your research project. They can be digital or non-digital. The response to your research question is based on the analysis of these research data..."



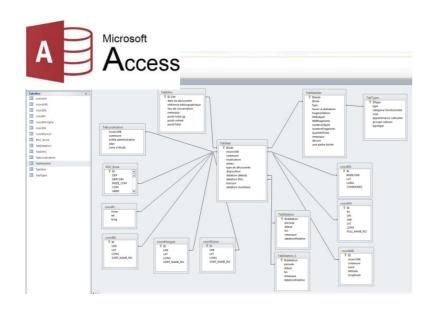
"...In short data means whatever is necessary to **validate** or **reproduce** your research findings, or to gain a richer understanding of them...."



#### Sources:

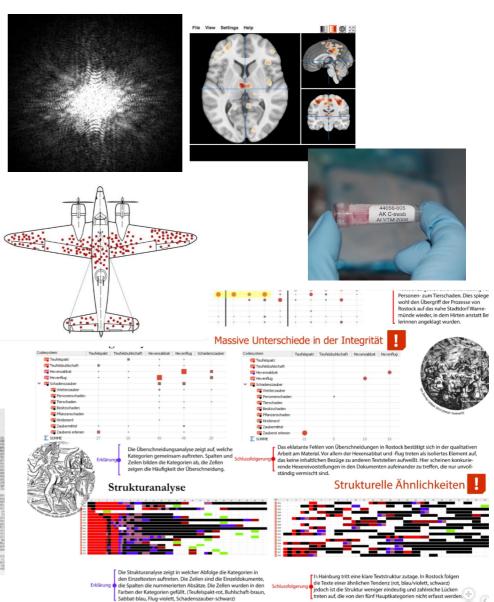
- https://www.ed.ac.uk/information-services/research-support/research-data-service/research-data-management
- https://blogs.ucl.ac.uk/rdm/2015/09/what-is-research-data/
- <last visited on 24.09.2021 >

#### **Examples**

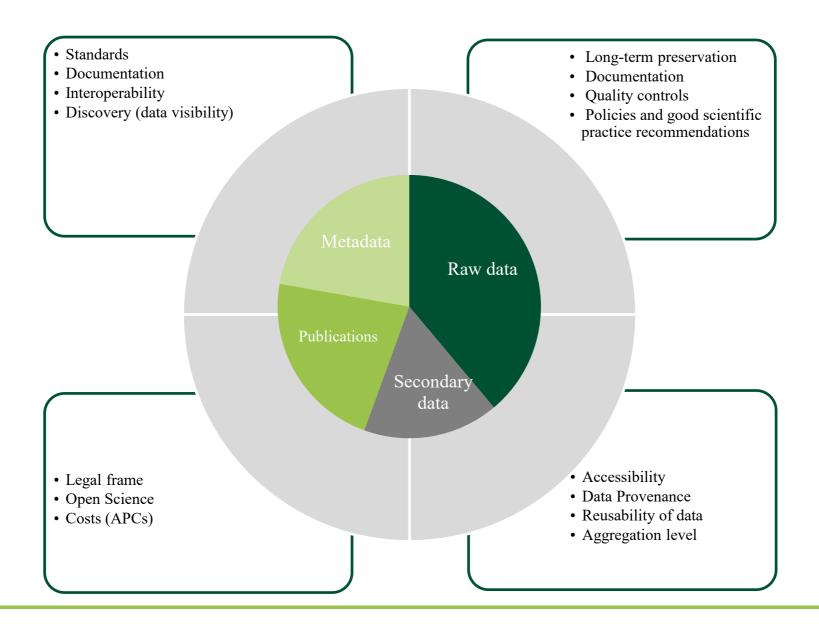




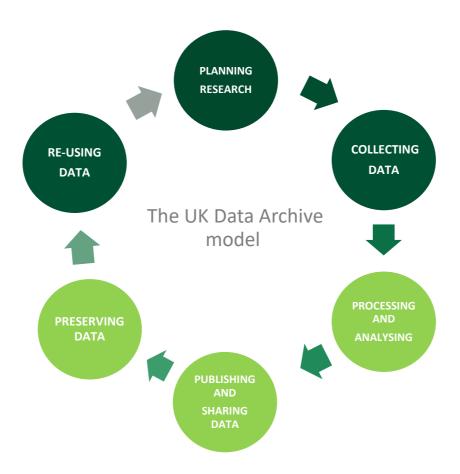




## **RDM Definition – What are research data?**

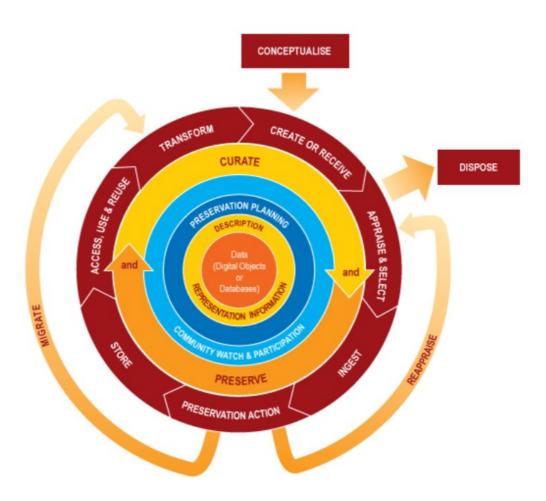


**Models**Research data life cycle model

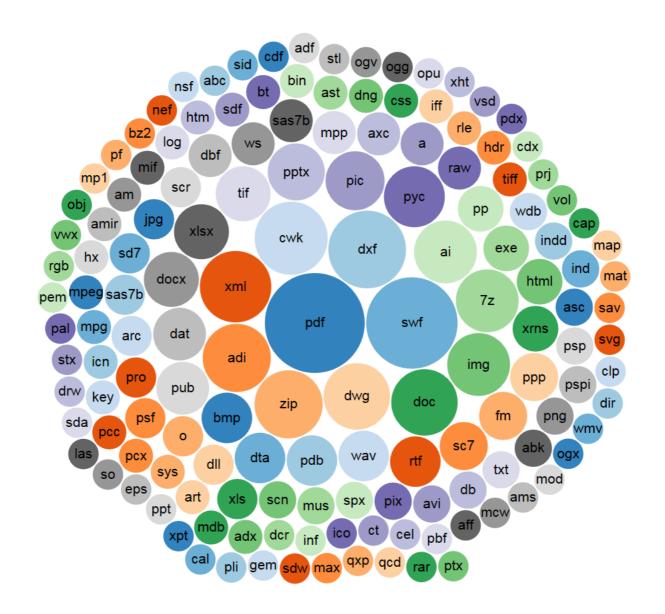


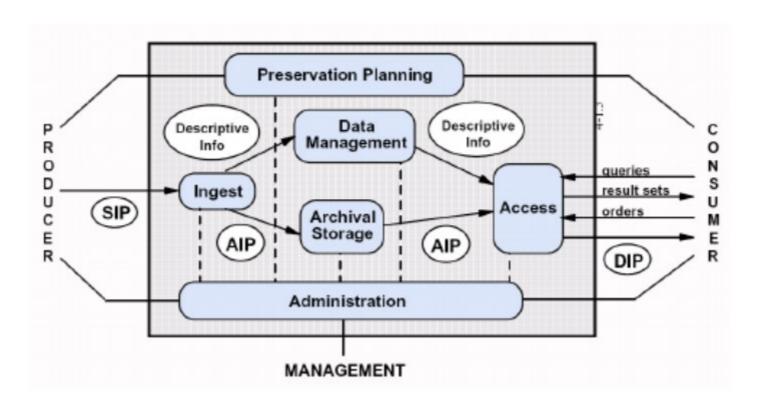
Source. https://www.researchgate.net/figure/Data-life-cycle-model-UK-Data-Archive\_fig1\_333466327

Models
The Curation Lifecycle Model (Digital Curation Centre)



Source: http://www.dcc.ac.uk/resources/curation-lifecycle-model





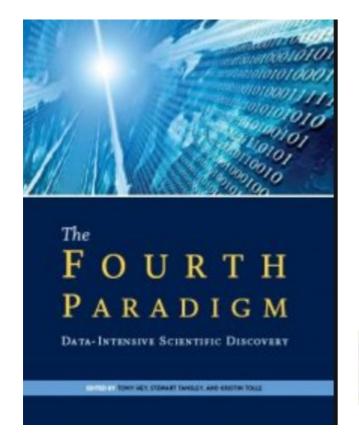
The Open Archival Information System: ISO-Standard 14721:2012

## Why is RDM important?

- Rapid growth of research data
- Research data are complex: subject-specific expertise are needed to manage them efficiently
- Funders are increasingly demanding a better management and accountability whilst working with research data (DPMs, technical reviewers)
- Research data are important publicly-funded resources whose permanency and availability should be secured

# **Question:**

2. Have you used supplementary materials?

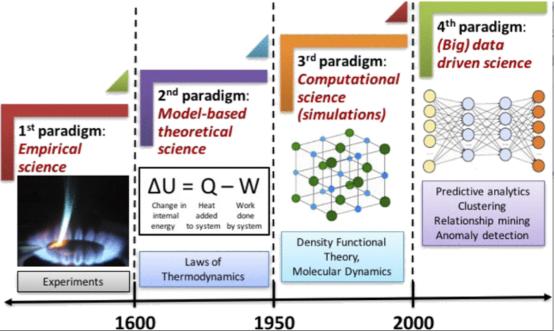


# Datasets continue to grow in size and complexity and are increasingly the focus of science today!

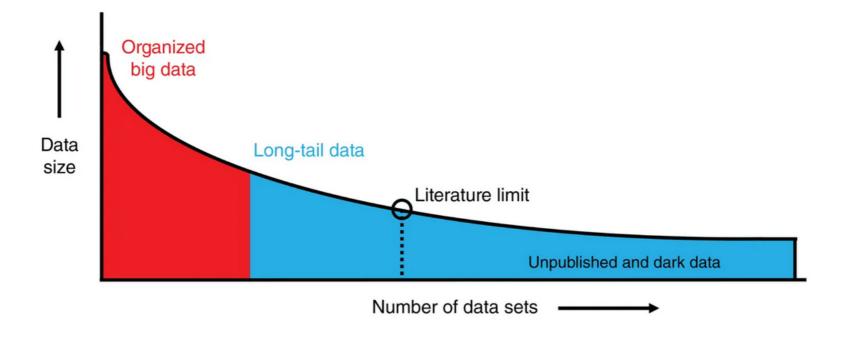
Tony Hey Stewart Tansley Kristin Tolle

Microsoft Research | October 2009

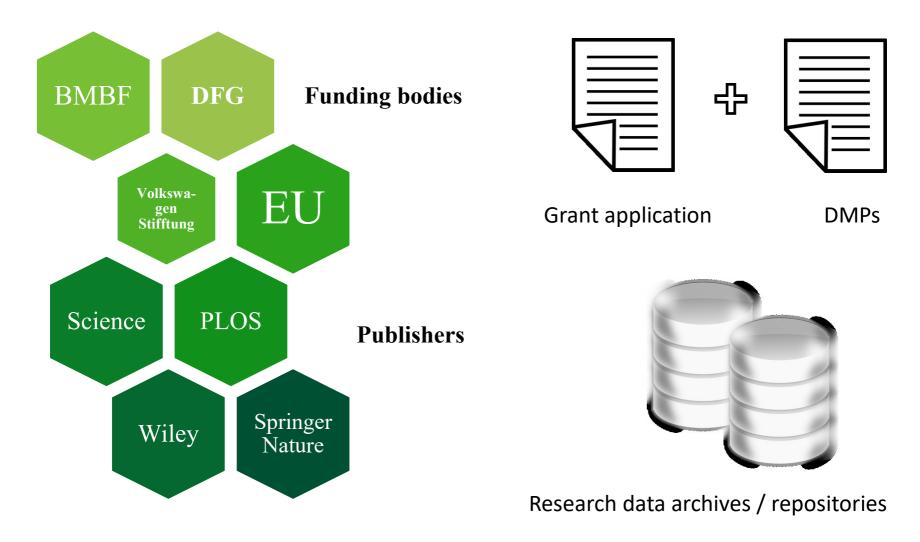
ISBN: 978-0-9825442-0-4



Source: https://aip.scitation.org/doi/10.1063/1.4946894



## **Requirements of funding bodies (German landscape)**



After: Was sind Forschungsdaten? - https://doi.org/10.18450/dataman/90

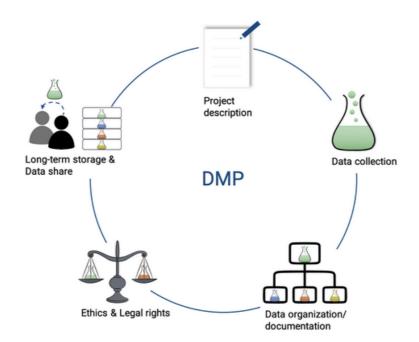
# **Question:**

3. Do you know what a research data management plan is?

## Data management plans (DMPs)

A data management plan (DMP) is a document describing the life cycle of data (especially research data) from collection to archiving, including all actions and processes that ensure that the data remains available, usable and comprehensible.

DMPs will vary across disciplines with some DMPs being more complex than others but there are some elements which will remain the same for all fields. Below an illustration from the logs-repository listing these basic elements:



Source: https://logs-repository.com/articles/essentials-for-a-data-management-plan-for-spectroscopists/

## Important aspects to consider when writing a DMP

•	Project description  □ Specify the roles and responsibilities for your project □ Ensure you know the status of existing data (are there any legal or data protection or intellectual property rights issues?) □ Think about the future usage of your dataset
•	Description of the data development methods is available  □ Describe your data (numeric, observational, simulation, textual, etc.) and formats  □ The amount (GB, TB, PB) and complexity (proprietary, BigData, Long-tailed) of data set is known  □ Your data generation, quality assurance and analysis processes are defined
•	Standards and Metadata  □ Pay attention to standards for documenting and creating metadata. These will ensure an adequate distribution, provision and interchangeability of your data  □ Metadata should be machine readable and following community standards (METS, MODS, DC, TEI)  □ The generation and human and machine readable documentation is important
•	Archiving and storage  ☐ Where is your project data going to live after the completion of your project ☐ Allocate a persisten identifier to your research data so that these can be found ☐ Have long-term preservation solutions been considered? ☐ Can the data be accessible and findable after depositing/archiving?

## The FAIR Data Principles for scientific data

#### **Findable**

- F1. (meta)data are assigned a globally unique and persistent identifier
- F2. data are described with rich metadata
- F3. metadata clearly and explicitly include the identifier of the data it describes
- F4. (meta)data are registered or indexed in a searchable resource

#### **Accessible**

- A1. (meta)data are retrievable by their identifier using a standardized communications protocol
- A1.1 the protocol is open, free, and universally implementable
- A1.2 the protocol allows for an authentication and authorization procedure, where necessary
- A2. metadata are accessible, even when the data are no longer available

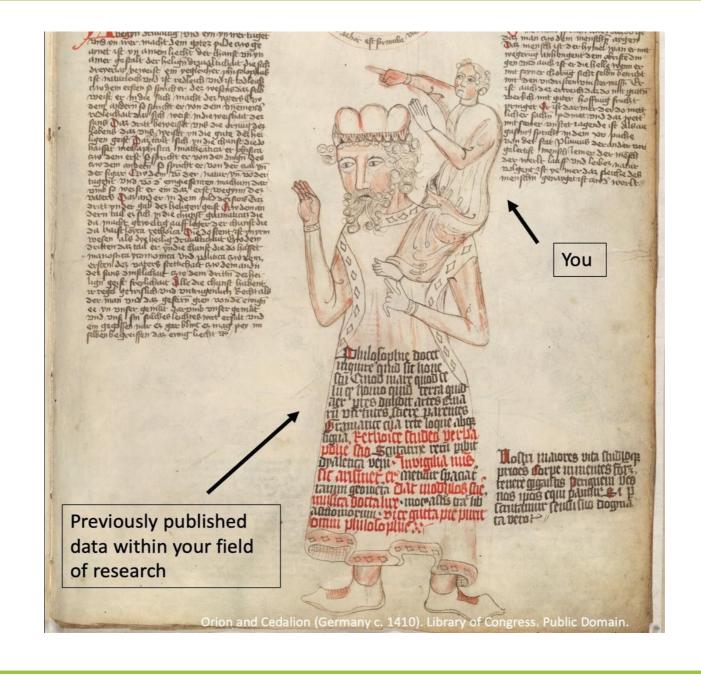
#### Interoperable

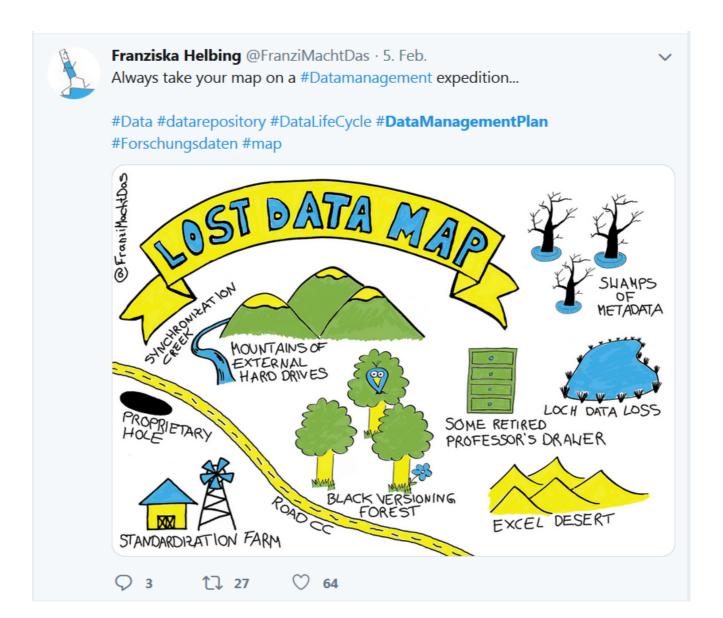
- I1. (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation.
- 12. (meta)data use vocabularies that follow FAIR principles
- 13. (meta)data include qualified references to other (meta)data

#### Reusable

- R1. meta(data) are richly described with a plurality of accurate and relevant attributes
- R1.1. (meta)data are released with a clear and accessible data usage license
- R1.2. (meta)data are associated with detailed provenance
- R1.3. (meta)data meet domain-relevant community standards

Source: Wilkinson, M., Dumontier, M., Aalbersberg, I. et al. The FAIR Guiding Principles for scientific data management and stewardship. Sci Data 3, 160018 (2016). https://doi.org/10.1038/sdata.2016.18





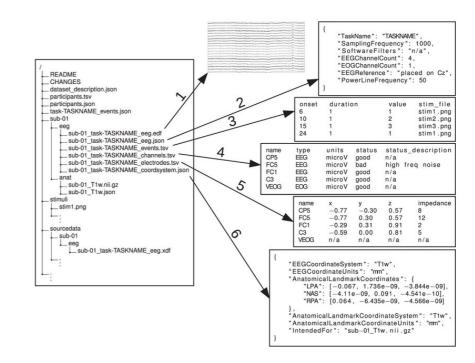
## Apart from writing DPMs, how can you start practicing RDM?

## Use a logical structure for your data directories

- A base or root directory with name XXXX and a series of subdirectories such as 'events', 'data', ' projects', etc. are a good start.
- Urls of archives and newspapers are good examples of how to structure content
- Avoid using spaces and special characters when naming your paths and files

## Use plain text formats and characters

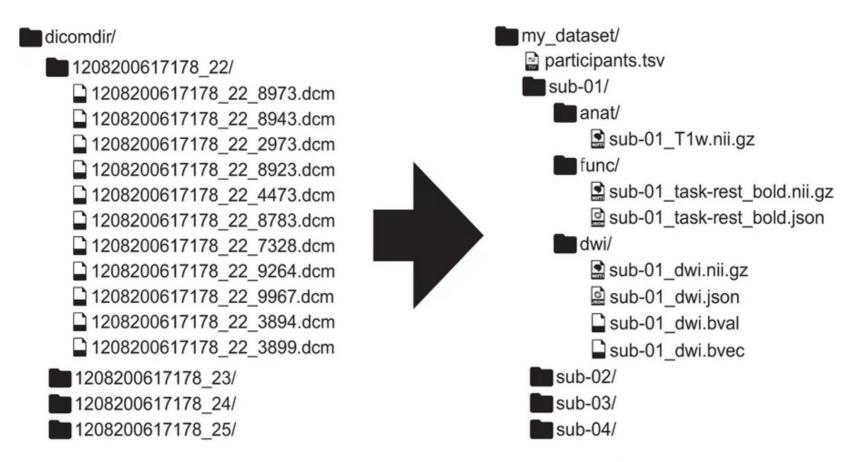
- Use CSV , TXT, JSON files because these are machine readable and platform independent
- When working with files for automation or computational processing, leave out formatting and focus on the efficient transmission of all meaningful information



Source: https://www.nature.com/articles/s41597-019-0104-8

## Apart from DPMs, how can you start practicing RDM?

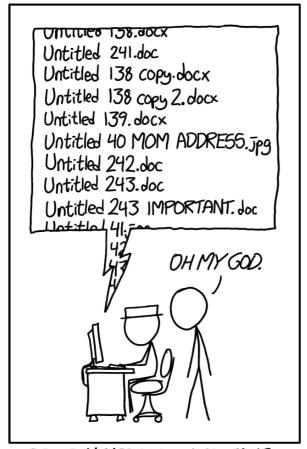
From: The brain imaging data structure, a format for organizing and describing outputs of neuroimaging experiments



BIDS is a format for standardizing and describing outputs of neuroimaging experiments (left) in a way that is intuitive to understand and easy to use with existing analysis tools (right).

Source: https://www.nature.com/articles/s41597-019-0104-8

## **Data structuring**



PROTIP: NEVER LOOK IN SOMEONE. ELSE'S DOCUMENTS FOLDER.



afsd.php ughhhhh.php asdfsd.php whyyyyyy.php asfasdfasd.php plzwork.php

Debugging sucks.



mockup\_final.psd mockup\_v6\_final.psd mockup\_v6\_final2.psd mockup2\_v6\_final\_revised3.psd

Which one is the real final one?

Source: <a href="https://xkcd.com/1459/">https://xkcd.com/1459/</a> under a CC-BY NC License - http://20px.com/blog/2015/07/16/catalogue-bad-file-naming/

## Other publishing platforms and services







## Online directories to find data repositories and further information



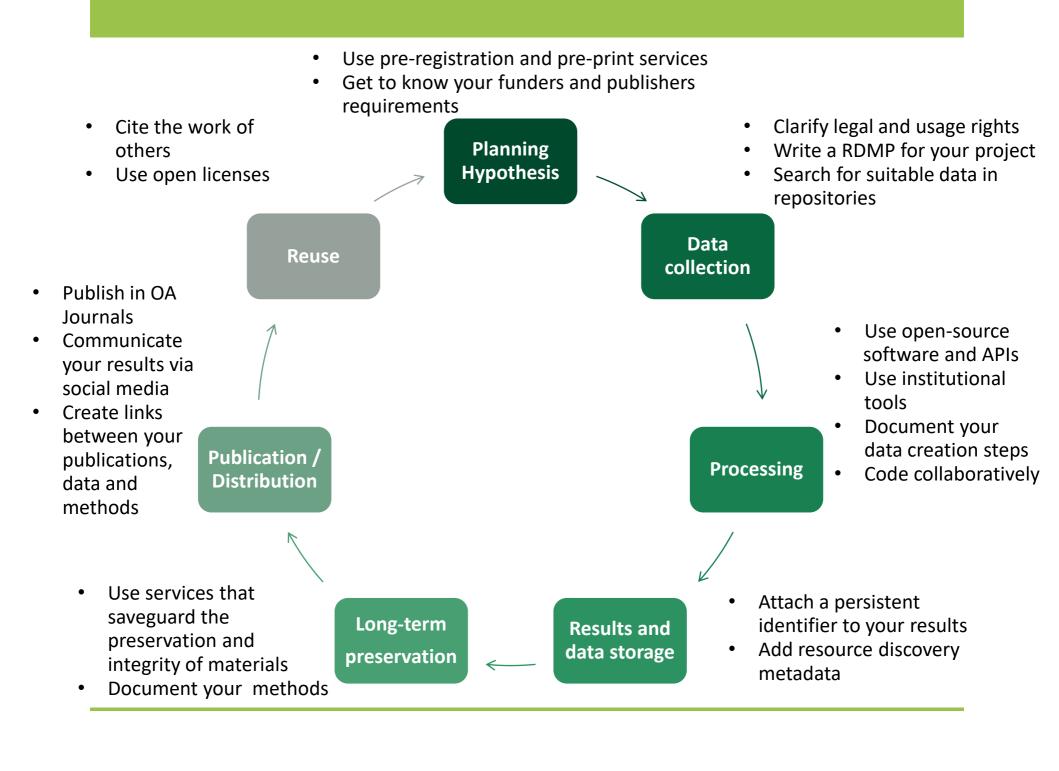




https://www.nfdi4biodiversity.org/de/training/lehr-und-lernmaterialien/

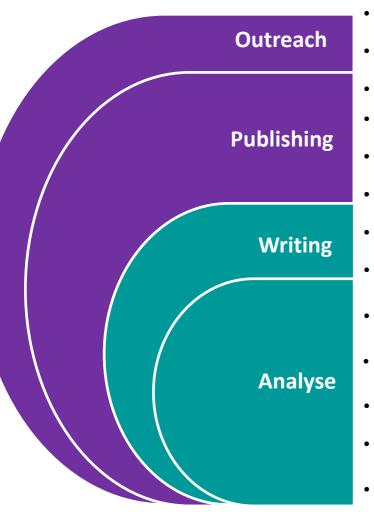
#### Github Repositories:

- Jupypter Unveiled: <a href="https://github.com/sojwolf/Jupyter-Workshop-Winterschool-2022">https://github.com/sojwolf/Jupyter-Workshop-Winterschool-2022</a>
- Data Scientist for Ecologist: <a href="https://github.com/DataScience4EcologistsR/TidyData">https://github.com/DataScience4EcologistsR/TidyData</a>



### Other important tools available to you





Communicate your science via Social-Media channels



Share posters/presentations via in OA-Repos





Publish in Open Access "Gold" or "Green" journals





Use preprint servers





Use open licenses like CCO/CC-BY



Consider publishing data descriptors und datasets

SCIENTIFIC **DATA** 

Use XML-based programmes like Overleaf – Authorea



Use collaborative tools like Sciflow

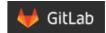


Share your protocols and workflows if possible



Manage your code and scripts





Safe and share your software via repositories



Preregister your studies





Share your data sets via suitable Repositories









Adapted from: Bianca Krammer & Jeroen Bosman

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